

No.: 25/BC-CT.HDQT

Rach Gia, July 24, 2026

REPORT ON CORPORATE GOVERNANCE

(First 6 months of 2026)

To: - State Securities Commission;

- Ho Chi Minh Stock Exchange

- Hanoi Stock Exchange

- Name of company: KIEN LONG COMMERCIAL JOINT STOCK BANK
- Address of head office: 40-42-44 Pham Hong Thai Street, Rach Gia Ward, An Giang Province
- Telephone: 0297.3869950 Fax: 0297.3877538 Email: kienlong@kienlongbank.com
- Chartered capital: VND 5,821,705,260,000
- Stock symbol: KLB
- Governance model: General Meeting of Shareholders, Board of Directors (BOD), Board of Supervisors (BOS), Chief Executive Officer and Director (According to Point a, Article 137 of the Enterprise Law 2020)
- Implementation of internal audit: Implemented

I. Activities of the General Meeting of Shareholders (GMS)

1. On April 23, 2026, Kien Long Commercial Joint Stock Bank (KienlongBank/Bank) successfully held the 2026 Annual General Meeting of Shareholders (GMS). Based on reports, submissions, and through discussions, the 2026 GMS passed Meeting Minutes No. 01/BBH-DHĐCĐ and Resolution No. 01/NQ-DHĐCĐ dated April 23, 2026, with the following contents:

- 1.1 Approval of the Report of the Board of Directors on activities in 2025 and operational plan for 2026;
- 1.2 Approval of KienlongBank's Report on Business performance in 2025 and Business plan for 2026
- 1.3 Approval of the Report of the Board of Supervisor on operation in 2025 and operational plan for 2026;
- 1.4 Approval of 2025 Audited consolidated financial statements of KienlongBank, audited by A&C Auditing and Consulting Company Limited;
- 1.5 Approval of the Plan for 2025 Profit Distribution;
- 1.6 Approval of the Plan for 2026 Charter Capital Increase.
- 1.7 Approval of the Listing of Bonds Publicly Issued by KienlongBank;
- 1.8 Approval of the Selection of Independent Auditing Firm for the 2027 Fiscal Year;
- 1.9 Approval of the authorization and assignment the Board of Directors to decide on several issues within the authority of the General Meeting of Shareholders.

1.10 Approval of the policy on changing the location of KienlongBank's Head Office.

II. Board of Directors

1. Information about the members of the Board of Directors

No.	Board of Directors' Member	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	Date of becoming/ceasing to be the member of the Board of Directors	
			Appointment date	Termination date
1	Mr. Tran Ngoc Minh	Chairman of the Board of Directors Non-Executive Member	Re-elected by the GMS from April 27, 2023	-
2	Ms. Nguyen Thi Hong Hanh	Vice Chairman of the BOD Non-Executive Member	Newly elected by the GMS from April 26, 2024	-
3	Mr. Kim Minh Tuan	Independent Member of BOD Non-Executive Member	Newly elected by the GMS from October 26, 2024	-
4	Ms. Nguyen Thi Thanh Huong	Member of BOD Non-Executive Member	Newly elected by the GMS from April 27, 2023	-
5	Mr. Bui Thanh Hai	Member of BOD Non-Executive Member	Newly elected by the GMS from April 27, 2023	-
6	Mr. Le Khac Gia Bao	Member of BOD Non-Executive Member	Newly elected by the GMS from April 27, 2023	-
7	Mr. Nguyen Cao Cuong	Member of BOD Non-Executive Member	Newly elected by the GMS from April 27, 2023	-
8	Mrs. Nguyen Thuy Nguyen	Independent Member of BOD Non-Executive Member	Newly elected by the GMS from April 27, 2023	-
9	Mr. Nguyen Chi Hieu	Independent Member of BOD Non-Executive Member	Newly elected by the GMS from October 26, 2024	-

2. Meetings of the Board of Directors:

In the first 6M of 2026, the Board of Directors held 21 meetings (including regular and extraordinary meetings and written opinions) to consider and decide on matters under the authority of the Board of Directors.

No.	Board of Directors' Member	Number of meetings attended	Attendance rate (%)	Reason for absence
1	Mr. Tran Ngoc Minh	21/21	100.00	
2	Ms. Nguyen Thi Hong Hanh	21/21	100.00	
3	Mr. Kim Minh Tuan	21/21	100.00	
4	Ms. Nguyen Thi Thanh Huong	21/21	100.00	
5	Mr. Bui Thanh Hai	21/21	100.00	
6	Mr. Le Khac Gia Bao	21/21	100.00	
7	Mr. Nguyen Cao Cuong	21/21	100.00	
8	Mrs. Nguyen Thuy Nguyen	21/21	100.00	
9	Mr. Nguyen Chi Hieu	21/21	100.00	

3. Supervising the Board of Management by the Board of Directors:

From the beginning of 2026, members of the Board of Directors (BOD) for the 2023–2027 term (9 members, including 3 independent members) have continued to perform their assigned duties in accordance with KienlongBank's internal regulations and legal requirements, ensuring the optimal contribution of each member.

The BOD and its Committees carry out governance and supervision tasks in key operational areas of the Bank, in compliance with the law and KienlongBank's internal regulations, while also monitoring and supervising the activities of the Executive Board to provide timely directions and strategies aligned with business plans for each stage, driving business growth. Specifically:

- Organizing, directing, and overseeing the strict implementation of reporting requirements under current regulations, such as the 2025 annual financial report, 2025 annual report, quarterly financial reports for 2026 and reports required by the State Bank of Vietnam.
- Regularly supervising the compliance of insiders and related parties with information disclosure regulations, both periodic and ad hoc, in accordance with the law and State Bank of Vietnam requirements.
- Directing efforts to accelerate reform and strongly restructure the Bank's organizational model toward efficiency and streamlining, enhancing governance quality and human resources development. Several internal documents have been amended, supplemented, or newly issued to align with current regulations. In particular, the Board of Directors amended and issued various regulations and rules on risk management, risk appetite,

etc., effective from July 1, 2026, in compliance with Circular No. 83/2025/TT-NHNN dated December 31, 2025 of the State Bank of Vietnam regarding the internal control system of commercial banks and foreign bank branches (replacing Circular No. 13/2018/TT-NHNN dated May 18, 2018 of the Governor of the State Bank of Vietnam providing for the internal control system of commercial banks and foreign bank branches) and aligned with the actual situation at KienlongBank.

- d. Directing and supervising the Board of Management in implementing the resolutions of the General Meeting of Shareholders
- e. Directing and supervising the Board of Management in completing documentation and implementing tasks with dispatch related to and completing the listing of KienlongBank's shares on the stock exchange in accordance with the General Meeting of Shareholders' resolution.
- f. Directing and supervising the Board of Management in completing documentation and implementing tasks with dispatch related to increase share capital from owner's equity for existing shareholders of Kienlongbank in accordance with the General Meeting of Shareholders' resolution.
- g. Supervising the Bank's business performance through periodic reports from the Executive Board to provide timely strategic guidance, aligned with the money market conditions and the actual situation at KienlongBank.

In the upcoming period, the BOD's supervision of the Board of Management will continue to be strengthened to further enhance the Bank's governance and oversight capabilities, in line with the Bank's development orientation as assigned by the General Meeting of Shareholders.

4. Activities of the Board of Directors' subcommittees (if any):

The BOD operates under its current Organizational and Operational Regulations. It has established advisory and support bodies to assist in governance, including: (1) Human Resources Committee, and (2) Risk Management Committee. These committees operate in accordance with their respective organizational and operational regulations approved by the BOD.

In the first 6 months of 2026, the subcommittees have effectively fulfilled their advisory roles by reporting promptly and providing proposals and opinions on issues under the BOD's authority, thus enhancing governance and oversight efficiency of BOD in compliance with both KienlongBank's internal regulations and legal requirements.

(1) Human Resources Committee (HRC):

The HRC has 5 members, appointed in compliance with laws and internal regulations in order to advise and assist the BOD in performing duties related to personnel affairs. In the first half of 2026, the HRC held 43 meetings to assist the BOD in completing high-level personnel work such as: Senior personnel appointments; Staffing decisions at departments/units under its authority; Review and submit the BOD to issue new internal policies aligned with legal regulations.

(2) Risk Management Committee (RMC):



The RMC has 4 members, also appointed in accordance with laws and internal regulations. It advises and supports the BOD in fulfilling its risk management responsibilities. Since the beginning of 2026, the RMC has held 4 meetings to support the BOD in risk governance efforts, such as implementing KienlongBank's risk management strategy, advising the BOD on reporting internal capital adequacy assessments to the SBV, and recommending amendments and new issuances of internal risk management regulations to ensure compliance with Circular No. 83/2025/TT-NHNN dated December 31, 2025, of the State Bank of Vietnam... In the context of Vietnam's economy continuing to face difficulties and volatility in 2026, along with the issuance of various new legal frameworks, the RMC has proactively fulfilled its advisory function in compliance with the law and its operating regulations. This proactive guidance has helped the BOD revise internal policies to reflect current legal requirements and make targeted decisions and strategic directions in risk management.

The RMC's meetings have reviewed and assessed: The Bank's safety levels under various risk scenarios; The adequacy and effectiveness of existing risk management processes and policies; Recommendations to revise internal risk-related regulations of the Bank.

5. Resolutions/Decisions of the Board of Directors

5.1. Resolutions of the Board of Directors

No.	Resolution No.	Date	Content	Approval Rate (%)
1	01/NQ-HĐQT	05/01/2026	Adjusted enhanced health insurance package for staff, credit collaborators, and relatives of management.	100%
2	02/NQ-HĐQT	12/02/2026	Salary and supplementary service fee expenses for 2025 based on 2025 KPI results.	100%
3	03/NQ-HĐQT	23/02/2026	Approved record date to exercise shareholder rights to attend 2026 AGM.	100%
4	04/NQ-HĐQT	23/02/2026	Approved draft 2025 Internal Capital Adequacy Assessment Report.	100%
5	05/NQ-HĐQT	01/04/2026	Approved documents for the 2026 AGM.	100%
6	06/NQ-HĐQT	21/04/2026	Approved submitting supplementary agenda items to the 2026 AGM per shareholder group request.	100%
7	07/NQ-HĐQT	19/05/2026	Approved procedures for issuing shares to increase capital from equity for existing shareholders.	100%
8	08/NQ-HĐQT	26/05/2026	Approved 2025 profit distribution plan.	100%

No.	Resolution No.	Date	Content	Approval Rate (%)
9	09/NQ-HĐQT	08/06/2026	Approved registering supplementary business activities in Establishment and Operation License.	100%
10	10/NQ-HĐQT	25/06/2026	Approved 2026 Business Network Restructuring Plan.	100%
11	10.1/NQ-HĐQT	26/06/2026	Agreed on RMC report on Q1/2026 regular meeting results.	100%
12	11/NQ-HĐQT	29/06/2026	Approved adjustment to Public Bond Issuance Plan and Use of Capital Plan.	66.67%
13	12/NQ-HĐQT	30/06/2026	Approved contracts and transactions between KienlongBank and parties under Article 68 of Charter.	66.67%

5.2. Decisions of the Board of Directors

No.	Resolution No.	Date	Content	Approval Rate (%)
1	01.1/QĐ-HĐQT	06/03/2026	Amended and supplemented Savings Deposit Regulation.	100%
2	01/QĐ-HĐQT	26/03/2026	Amended Appendix 5 attached to Salary Regulation.	100%
3	02/QĐ-HĐQT	07/04/2026	Re-appointed Head of BOD Office cum Person in Charge of Corporate Governance for Ms. Nguyen Thanh Thuy.	100%
4	03/QĐ-HĐQT	04/05/2026	Senior personnel affairs.	100%
5	05/QĐ-HĐQT	11/05/2026	Senior personnel affairs.	66.67%
6	06/QĐ-HĐQT	20/05/2026	Senior personnel affairs.	66.67%
7	07/QĐ-HĐQT	19/06/2026	Approved salary level for Ms. Vu Thi Phuong.	100%
8	08/QĐ-HĐQT	19/06/2026	Approved salary level for Mr. Phan Duc Kha.	66.67%
9	09/QĐ-HĐQT	19/06/2026	Approved salary level for Ms. Vu Ngoc Lan Chau.	66.67%

No.	Resolution No.	Date	Content	Approval Rate (%)
10	10/QĐ-HĐQT	30/06/2026	Issued Risk Management Framework Regulation.	100%
11	11/QĐ-HĐQT	30/06/2026	Issued Internal Control System Regulation.	100%
12	12/QĐ-HĐQT	30/06/2026	Issued Operational Risk Management Regulation.	100%
13	13/QĐ-HĐQT	30/06/2026	Issued Market Risk Management Regulation.	100%
14	14/QĐ-HĐQT	30/06/2026	Issued Interest Rate Risk in Banking Book Management Regulation.	100%
15	15/QĐ-HĐQT	30/06/2026	Issued Liquidity Risk Management Regulation.	100%
16	16/QĐ-HĐQT	30/06/2026	Issued Credit Risk Management Regulation.	100%
17	17/QĐ-HĐQT	30/06/2026	Issued Credit Approval Authorization Regulation.	100%
18	18/QĐ-HĐQT	30/06/2026	Issued Internal Credit Rating System Regulation.	100%
19	19/QĐ-HĐQT	30/06/2026	Terminated Decision No. 193/QĐ-HĐQT regarding ICAAP Framework.	100%

III. Board of Supervisors

1. Information about the members of the Board of Supervisors:

No.	Supervisory Board Member	Position	Appointment/Termination Date	Qualifications
1	Ms. Do Thi Tuyet Trinh	Head of Supervisory Board	Re-elected by the General Meeting of Shareholders (GMS) on 27/04/2023	Bachelor of Economics; Certified Internal Auditor (CIA)
2	Mr. Dang Minh Quan	Member of Supervisory Board	Re-elected by the GMS on 27/04/2023	Bachelor of Economics; Bachelor of Law; Bachelor of Foreign Language Pedagogy; Master of Economics

No.	Supervisory Board Member	Position	Appointment/Termination Date	Qualifications
3	Ms. Hoang Thi Phuong	Member of Supervisory Board	Elected as a new member by the GMS on 26/04/2024	Bachelor's Degree in Accounting
4	Mr. Dao Ngoc Hai	Member of Supervisory Board	Elected as a new member by the GMS on 26/10/2024	Bachelor's Degree in Business Administration
5	Ms. Nguyen Thi Khanh Phuong	Member of Supervisory Board	Elected as a new member by the GMS on 26/10/2024	Master's Degree in Business Administration

2. Meetings of the Board of Supervisors:

No.	Board of Directors' Member	Number of meetings attended	Attendance rate (%)	Voting rate (%)	Reason for absence
1	Ms. Do Thi Tuyet Trinh	4/4	100%	100%	
2	Mr. Dang Minh Quan	4/4	100%	100%	
3	Ms. Hoang Thi Phuong	4/4	100%	100%	
4	Mr. Dao Ngoc Hai	4/4	100%	100%	
5	Ms. Nguyen Thi Khanh Phuong	4/4	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by the Board of Supervisors:

In the first 6 months of 2026, the Supervisory Board fully executed its duties and powers in accordance with the Law on Credit Institutions 2024 and KienlongBank's Charter, including: (i) supervising compliance with laws, the Charter, and internal regulations in governance and management; (ii) supervising the validity, truthfulness, and prudence in managing business operations; (iii) supervising the implementation of business plans, targets, and resolutions approved by the GMS and BOD; (iv) reviewing financial statements and business performance evaluation reports; (v) making recommendations to the BOD and Management Board regarding issues arising during supervision; (vi) directing and supervising the Internal Audit Department;

and (vii) performing other tasks required by law and SBV directions. Supervisory results show that:

a) Regarding the Board of Directors

The BOD fully performed its strategic oversight and governance functions:

- Successfully organized the 2026 Annual General Meeting of Shareholders on schedule, ensuring compliance with procedures under the Law on Credit Institutions 2024 and KienlongBank's Charter. GMS resolutions were implemented promptly by the BOD with a clear roadmap.
- The BOD's supervisory role over the Management Board was clearly demonstrated through: holding periodic meetings to review operations and make timely decisions; maintaining effective specialized sub-committees; attending and monitoring executive meetings via business reports, financial statements, and thematic reports as requested.
- Continued directing the implementation of the ESG strategy and Basel III adoption roadmap, contributing to KienlongBank's sustainable, safe, and efficient growth.
- Directed the review and updating of internal documents and regulations to meet the requirements of Circular No. 83/2025/TT-NHNN governing the internal control systems of commercial banks and foreign bank branches (replacing Circular 13/2018/TT-NHNN).

b) Regarding the General Director and Board of Management

The General Director and Management Board proactively executed the business plan for the first 6 months of 2026, specifically:

- Promoted selective credit growth, focused on portfolio quality, actively recovered non-performing loans, and implemented fund mobilization solutions, expanding CASA to optimize funding costs;
- Continued implementing digital transformation projects, enhancing customer experience, and optimizing operational processes;
- Implemented recommendations from state regulatory bodies, the Supervisory Board, and Internal Audit;
- Particularly, proactively researched and built an implementation plan for Circular 83/2025/TT-NHNN immediately upon its issuance, including reviewing the risk management framework against new standards for credit risk, market risk, operational risk, liquidity risk, interest rate risk in the banking book, and model risk management; ensuring mandatory requirements are met ahead of the roadmap before January 1, 2028.

c) Regarding the Internal Control System

KienlongBank maintained and upgraded its three-lines-of-defense internal control system as required by Circular 13/2018/TT-NHNN. Simultaneously, KienlongBank proactively prepared to structure its internal control system in line with Circular 83/2025/TT-NHNN with significantly enhanced quality and scope, specifically:

- **First line:** Business and operational support units directly identify, measure, and control risks at source; ensuring clear demarcation of functions, authority, and control of conflicts of interest under Circular 83;
- **Second line:** Risk management and compliance units formulate policies, measure, and independently monitor key risks (credit, market, operational, liquidity, interest rate risk in the banking book, and model risk), setting risk limits aligned with approved risk appetite;
- **Third line:** Internal Audit ensures independence and objectivity in comprehensively evaluating the effectiveness of the first two lines of defense.

The Supervisory Board notes that KienlongBank has proactively prepared for the transition to the Circular 83/2025/TT-NHNN framework, evaluating this as an important milestone in completing bank governance per SBV requirements.

d) Financial Condition and Semi-Annual Financial Statements

Through supervision and review, the Supervisory Board observes that KienlongBank is achieving/on track with its target performance metrics for the first 6 months of 2026. KienlongBank's 2026 semi-annual financial statements present fairly and reasonably in all material respects, complying with Vietnamese Accounting Standards, current SBV regulations, and applicable laws.

e) Information Disclosure and Related Party Transactions

- The list of shareholders holding 1% or more of charter capital and related persons of BOD, SB, and Management Board members was fully compiled, reviewed, and updated per the Law on Credit Institutions 2024, including expanded identification requirements for related persons under new rules.
- Members of the BOD, SB, General Director, and Deputy General Directors strictly complied with disclosure of related interests and declaration of related persons. The Supervisory Board fully monitored transactions between KienlongBank and Kien Long Commercial Joint Stock Bank Asset Management and Debt Management One Member Company Limited, as well as with BOD, SB, Management Board members, and their related persons, ensuring compliance with credit extension limits under the Law on Credit Institutions 2024 and internal regulations.
- In the first 6 months of 2026, the Supervisory Board received no requests from major shareholders or shareholder groups to inspect accounting books, management documents, or operational administration of KienlongBank.

4. Coordination between the SB and the BOD, Management Board, and Other Management Personnel:

During the reporting period, the Supervisory Board fully exercised its right to access information and participate in governance activities as prescribed:



- The Head of the Supervisory Board attended all BOD meetings, business execution conferences, and senior executive meetings of the Management Board, providing direct input on matters within the SB's supervisory scope at each session.
- The Supervisory Board was fully and promptly provided with information, reports, and data required to perform its duties by the BOD and Management Board. The SB's right to request information was effectively exercised under the Law on Credit Institutions 2024 and amended legal documents.
- The Supervisory Board issued and strictly monitored the implementation of recommendations made to the BOD and Management Board regarding issues identified through supervision during the period.
- The Supervisory Board directed the Internal Audit Department to implement the 2026 audit plan on schedule (for the 6M period), while conducting ad-hoc audits and inspections as requested by the SBV.

5. Other Activities of the SB:

- **Legal Update:** The Supervisory Board researched, updated, and disseminated new legal regulations taking effect during the period, focusing particularly on Circular No. 83/2025/TT-NHNN. The SB evaluated KienlongBank's readiness and supervised Management Board directives in building an action plan to meet new requirements, including the roadmap to complete mandatory items before July 1, 2026, under a proactive rather than passive compliance approach.
- **Professional Ethics Evaluation:** Supervised and evaluated professional ethics standard compliance by SB members and all internal auditors according to internal rules and SBV regulations.
- **Supervision of Internal Audit Department:** The Supervisory Board fully exercised supervisory rights over the Internal Audit Department, including: (i) progress and quality of the 2026 6M audit plan execution; (ii) reviewing and evaluating the independence, objectivity, and operational effectiveness of the Internal Audit Department; (iii) supervising progress in resolving findings and limitations recommended by the SBV, independent auditors, and regulatory authorities.
- **Capacity Building:** Organized in-depth training sessions for internal auditors on Circular 83/2025/TT-NHNN contents and international auditing practices.
- **Technology Application:** Continued directing research and application of technology and data digitization in audit, inspection, and supervisory activities, aligned with risk data management directions under Circular 83/2025/TT-NHNN.
- **Reporting to Authorities:** Fully and punctually submitted required reports to the State Bank of Vietnam and relevant state management agencies during the period.

IV. Board of Management



No.	Member of Board of Management	Date of birth	Qualifications	Date of appointment/dismissal of members of the Board of Management
1	Mr. Tran Hong Minh	15/02/1985	Bachelor's Degree in Investment Economics	Appointed Deputy General Director on 25/11/2022; Appointed Acting General Director on 09/07/2024; Appointed General Director on 01/12/2025
2	Mr. Nguyen Hoàng An	29/07/1967	Bachelor's Degree in Banking	Appointed Deputy General Director on 01/10/2016
3	Mr. Tran Van Thai Binh	30/08/1975	Bachelor's Degree in Economics	Appointed Deputy General Director on 24/05/2022
4	Mr. Nguyen Van Minh	09/09/1977	Bachelor's Degree in Telecommunication Electronics	Appointed Deputy General Director on 07/01/2021
5	Ms. Nguyen Thi Hong Van	21/04/1980	Bachelor's Degree in International Economics	Appointed Deputy General Director on 03/01/2025
6	Ms. Vu Thi Phuong	10/10/1979	Bachelor of Accounting Master of Economic Management	Appointed Deputy General Director on 05/05/2026
7	Mr. Phan Duc Kha	12/10/1986	Bachelor of Financial Investment Master of Treasury	Appointed Deputy General Director on 11/05/2026
8	Ms. Vu Ngoc Lan Chau	13/07/1985	Bachelor of Accounting-Auditing MBA	Appointed Deputy General Director on 21/05/2026

V. Chief Accountant

No.	Name	Date of birth	Qualifications	Date of appointment/dismissal
1	Ms. Vu Dang Xuan Vinh	22/01/1984	Master's Degree in Banking and Finance	01/11/2023

VI. Training courses on corporate governance

Board of Directors, Supervisory Board, and Board of Management regularly update their knowledge of legal regulations on corporate governance; enhance compliance awareness with statutory provisions and internal governance regulations—particularly the clear segregation of roles and duties among management levels of the Bank; respect the rights and obligations of shareholders, the Board of Directors, the Supervisory Board, and the Board of Management; and strictly fulfill information disclosure obligations for required disclosures under current law.

The Bank has continuously perfected its system of internal regulations and policies to clearly separate governance, supervisory, and executive functions, ensuring transparency, stability, and seamless operations across all activities of the Bank.

Furthermore, to enhance the working and leadership capabilities of the Bank's managerial team during the digital transformation process, KienlongBank organized various training programs. These programs provided trainees with a comprehensive overview of advanced work management methodologies along with relevant supporting tools and solutions, thereby helping the Leadership and management team update advanced knowledge to execute key bank projects, drive transformation, and boost business operational efficiency.

VII. List of related persons of the public company and transactions of related persons of the company

1. List of related persons of the Company (Appendix 1).
2. Transactions between the company and its related persons, or between the company and its major shareholders, internal persons their related persons (Appendix 2).
3. Transactions between internal persons, related persons of internal persons, and the Company's subsidiaries or controlled companies – None.
4. Transactions between the Company and other entities:
 - 4.1. Transactions between the Company and any enterprise in which members of the BOD, BOS, General Director (CEO), or other key managers have served or are serving as founders, BOD members, or CEOs within the past three (03) years (calculated at the time of reporting): (Appendix 3).
 - 4.2. Transactions between the Company and any enterprise in which affiliated persons of members of the BOD, BOS, CEO, or other key managers are serving as BOD members or CEOs: None/No information available.
 - 4.3. Other transactions of the Company (if any) that may provide tangible or intangible benefits to BOD members, BOS members, CEO, or other key managers: None.

VIII. Share transactions of internal persons and their affiliated persons

1. List of internal persons and their related persons (Appendix 4).
2. Transactions of internal persons and their related persons with shares of the company: None

IX. Other significant issues: None

The above is the Report on Corporate Governance for the first 6 months of 2026 submitted by KienlongBank to the State Securities Commission of Vietnam and the Ho Chi Minh City Stock Exchange.

Best regards.

CHAIRMAN OF THE BOARD OF DIRECTORS

Recipients:

- As above;
- Archived: BOD Office.



Tran Ngoc Minh



APPENDIX 1
List of the Company's related parties

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company / Relationship with internal persons (if any)	NSH Number*, issue date, place of issue	Head office address / Contact address	Date becoming a related party	Date ceasing to be a related party	Reason	Relationship with the company
I. Board of Directors									
1	Mr. Tran Ngoc Minh		Chairperson of the BOD			07/01/2021			
2	Ms. Nguyen Thi Hong Hanh		Vice Chairperson of the BOD			28/12/2021			
3	Mr. Kim Minh Tuan		Independent Member of the BOD			26/10/2024			
4	Ms. Nguyen Thi Thanh Huong		Member of the BOD			27/04/2023			
5	Mr. Bui Thanh Hai		Member of the BOD			28/12/2021			
6	Mr. Le Khac Gia Bao		Member of the BOD			28/12/2021			
7	Mr. Nguyen Cao Cuong		Member of the BOD			26/04/2018			
8	Ms. Nguyen Thuy Nguyen		Independent Member of the BOD			27/04/2023			
9	Mr. Nguyen Chi Hieu		Independent Member of the BOD			26/10/2024			
II. Board of Supervisors									
1	Ms. Do Thi Tuyet Trinh		Head of the BOS			28/12/2021			
2	Mr. Dang Minh Quan		Member of the BOS			15/04/2014			



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No.	Name of organization/individual	Securities trading account (if any)	Position at the Company / Relationship with internal persons (if any)	NSH Number*, issue date, place of issue	Head office address / Contact address	Date becoming a related party	Date ceasing to be a related party	Reason	Relationship with the company
3	Ms. Hoang Thi Phuong		Member of the BOS			26/04/2024			
4	Ms. Nguyen Thi Khanh Phuong		Member of the BOS			26/10/2024			
5	Mr. Dao Ngoc Hai		Member of the BOS			26/10/2024			
III. Executive Management									
1	Mr. Tran Hong Minh		Acting General Director General Director			25/11/2022			
2	Mr. Nguyen Hoang An		Deputy General Director			01/10/2016			
3	Mr. Tran Van Thai Binh		Deputy General Director			24/05/2022			
4	Mr. Nguyen Van Minh		Deputy General Director			07/01/2021			
5	Ms. Nguyen Thi Hong Van		Deputy General Director			03/01/2025			
6	Mrs. Vu Thi Phuong		Deputy General Director			05/05/2026		Pursuant to Decision No. 03/QD-HDQT dated 04/05/2026	

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company / Relationship with internal persons (if any)	NSH Number*, issue date, place of issue	Head office address / Contact address	Date becoming a related party	Date ceasing to be a related party	Reason	Relationship with the company
7	Mr. Phan Duc Kha		Deputy General Director			11/05/2026		Pursuant to Decision No. 05/QD-HDQT dated 11/05/2026	
8	Mrs. Vu Ngoc Lan Chau		Deputy General Director			21/05/2026		Pursuant to Decision No. 06/QD-HDQT dated 20/05/2026	
IV. Chief Accountant									
1	Ms. Vu Dang Xuan Vinh		Chief Accountant	060184013502		01/11/2023			
V. Corporate Governance Officer / Authorized Information Disclosure Representative									
1	Ms. Nguyen Thanh Thuy		Corporate Governance Officer; Authorized Information Disclosure Representative			07/04/2023			
VI. Related Companies									
1	KienlongBank Asset Management Company			1701452905 issued on 12/11/2010 by An Giang Department of Planning and Investment	Floor 6, No. 40-42-44 Pham Hong Thai, Rach Gia Ward, An Giang Province	12/11/2010			Subsidiary Company

Note: NSH Number*: ID Card/Passport No. (for individuals) or Enterprise Registration Certificate No., Operating License, or equivalent legal document (for organizations)/NSH.



APPENDIX 02

Transactions between the company and related persons of the company; or between the company and major shareholders, internal persons, and related persons of internal persons

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
1	KienlongBank Asset Management Company	Subsidiary of the credit institution where the Reporting Person is a manager	1701452905	6th Floor, No. 40-42-44 Pham Hong Thai, Rach Gia Ward, An Giang Province	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD Resolution No. 27/NQ-HĐQT dated August 25, 2025 of the BOD	Service lease contracts, deposit contracts, certificates of deposit (CDs), and bonds; quantity and transaction value are not provided due to information confidentiality	
2	Tran Ngoc Minh	Chairman of the Board of Directors	062084006385	Residential Group No. 17, Tu Liem, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
3	Do Thi Xuan	Related person of the Chairman of the BOD	038187017714	Residential Group No. 17, Tu Liem, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
4	Dang Thi Hue	Related person of the Chairman of the BOD	044155003728	Trung Luong 14, Hoa Xuan, Da Nang	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
5	Tran Ngoc	Related person of the Chairman of the BOD	044090014406	Trung Luong 14, Hoa Xuan, Da Nang	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
6	Pham Thi My Dung	Related person of the Chairman of the BOD	051194003938	Trung Luong 14, Hoa Xuan, Da Nang	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
7	Do Nhu Dung	Related person of the Chairman of the BOD	038062015344	Le Trong Tan, An Khanh, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
8	Nguyen Thi Hong Hanh	Vice Chairman of the BOD	001183008126	No. 6, Sub-lane 2, Alley 15 Phuong Mai, Kim Lien, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
9	Kim Minh Tuan	Independent Member of the BOD	001075001863	16 Pham Hung, Tu Liem Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
10	Kim Anh Kiet	Related person of Independent Member of the BOD	001207048309	No. 23, Alley 61, Yen Hoa Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
11	Nguyen Thi Thanh Huong	Member of the BOD	001170019666	Room 20, Building 30 Tran Quoc Toan Street, Cua Nam Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
12	Bui Thanh Hai	Member of the BOD	072075012466	30/7/23/4A Lam Van Ben, Tan Hung, HCMC	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
13	Ho Kim Bac Ai	Related person of BOD Member	072175012627	30/7/23/4A Lam Van Ben, Tan Hung, HCMC	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
14	Bui Ho Hai Dang	Related person of BOD Member	079203034882	30/7/23/4A Lam Van Ben, Tan Hung, HCMC	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
15	Bui Ho Hai Yen	Related person of BOD Member	079306044396	30/7/23/4A Lam Van Ben, Tan Hung, HCMC	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
16	Bui Ho Hai Duong	Related person of BOD Member	079207003780	30/7/23/4A Lam Van Ben, Tan Hung, HCMC	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
17	Bui Tien Dung	Related person of BOD Member	072067001153	Cam Long Hamlet, Thanh Duc, Tay Ninh	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
18	Le Khac Gia Bao	Member of the BOD	064079000014	128 Vo Liem Son, Chanh Hung Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
19	Mai Phuong Ngan	Related person of BOD Member	001182017637	128 Vo Liem Son, Chanh Hung Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
20	Le Mai Gia Duc	Related person of BOD Member	079210015349	128 Vo Liem Son, Chanh Hung Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
21	Le Khac Tinh	Related person of BOD Member	046057010084	365 Ha Huy Tap, An Khe, Da Nang	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
22	Nguyen Thi Quynh Anh	Related person of BOD Member	062189001723	365 Ha Huy Tap, An Khe, Da Nang	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
23	Nguyen Thanh Hai	Related person of BOD Member	030091022671	365 Ha Huy Tap, An Khe, Da Nang	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
24	Pham Thi Phuong Loan	Related person of BOD Member	001159028135	42 Street 11, Trung Son Residential Area, Binh Hung, HCMC	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
25	Nguyen Cao Cuong	Member of the BOD	038085021458	6.13 CH2 Apartment Building, Block C Cityland Residential Area, Street 10, Go Vap, HCMC	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
26	Nguyen Thuy Nguyen	Independent Member of the BOD	019182014007	Apartment No. I3.1605, Building I3 Imperia Smart City, Vinhomes Smart City Urban Area,	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
27	Smartmind Securities Joint Stock Company	Related person of Independent BOD Member	0304763878	1st and 6th Floors, Sunshine Center Building, 16 Pham Hung, Tu Liem Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
28	S.I Development Joint Stock Company	Related person of Independent BOD Member	3400499597	Sunny Villa Villa Area, Xuan Thuy Street, Mui Ne Ward, Lam Dong, Vietnam	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
29	Nguyen Chi Hieu	Independent Member of the BOD	001085020515	785/12 Nguyen Kiem Street, Hanh Thong Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
30	Do Thi Tuyet Trinh	Head of the Supervisory Board	008181000287	CH208 Kim Lien Collective Quarter, Kim Lien Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
31	Tran Thi Toan	Related person of Head of the Supervisory Board	034151003501	CH208 Kim Lien Collective Quarter, Kim Lien Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
32	Tran Bao Ngoc	Related person of Head of the Supervisory Board	008307002221	CH208 Kim Lien Collective Quarter, Kim Lien Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
33	Do Kim Tuyen	Related person of Head of the Supervisory Board	008172008529	Minh Xuan Ward, Tuyen Quang	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
34	Dang Minh Quan	Member of the Supervisory Board	096080008476	17 Phan Boi Chau Street, Hamlet 31, Tan Thanh Ward, Ca Mau	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
35	Dang Van My	Related person of Supervisory Board Member	096056004487	17 Phan Boi Chau Street, Hamlet 31, Tan Thanh Ward, Ca Mau	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
36	Nguyen Bich Huyen	Related person of Supervisory Board Member	096184004609	17 Phan Boi Chau Street, Hamlet 31, Tan Thanh Ward, Ca Mau	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
37	Hoang Thi Phuong	Member of the Supervisory Board	015187000229	232 Pham Van Dong, Phu Dien Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
38	Hoang Phuong Yen	Related person of Supervisory Board Member	015191004360	232 Pham Van Dong, Phu Dien Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
39	Nguyen Thi Khanh Phuong	Member of the Supervisory Board	001180013398	P114 Alley 433/8 Kim Nguu, Vinh Tuy Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
40	Dao Ngoc Hai	Member of the Supervisory Board	015083000306	P415 Building A1, Hao Nam Collective Quarter, O Cho Dua Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
41	Dao Xuan Thanh	Related person of Supervisory Board Member	038055002696	P415 Building A1, Hao Nam Collective Quarter, O Cho Dua Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
42	Tran Hong Minh	Chief Executive Officer (CEO)	042085000521	P201 – A1 Kim Giang Collective Quarter, Khuong Dinh Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
43	Vu Thi Van Anh	Related person of CEO	037187001892	P201 – A1 Kim Giang Collective Quarter, Khuong Dinh Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
44	Do Thi Anh Tuyet	Related person of CEO	037160002582	Hoang Dao Thanh Street - Ha Dinh Ward - Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
45	Nguyen Hoang An	Deputy CEO	082067017644	343/33I/13 Nguyen Trong Tuyen, Tan Son Hoa Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
46	Trang Thi My Nhung	Related person of Deputy CEO	079170041992	267 Thoi An 2 Area, Thuan An Ward, Thot Not District, Can Tho City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
47	Nguyen Hoang Phong	Related person of Deputy CEO	079202023508	Thoi An 2 Area, Thot Not Ward, Can Tho City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
48	Nguyen Phung Lien	Related person of Deputy CEO	092144000192	Thoi An 2 Area, Thot Not Ward, Can Tho City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
49	Nguyen Thi Kim Trang	Related person of Deputy CEO	082165000390	343/33I/13 Nguyen Trong Tuyen, Tan Son Hoa Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
50	Nguyen Hoang Lac	Related person of Deputy CEO	082075000905	5 Street 06, Vinh Hoi Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
51	Pham Ba Hai	Related person of Deputy CEO	079058023660	343/33I/13 Nguyen Trong Tuyen, Tan Son Hoa Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
52	Hoang An Lac Trading & Service One Member Co., Ltd.	Related company of Deputy CEO	1801184008	National Highway 91, Thoi An 2 Area, Thot Not Ward, Can Tho City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
53	An Phong Shoe Co., Ltd.	Related company of Deputy CEO	1501163092	No. 08, Group 9, Tan Binh Hamlet, Tan Hanh Ward, Vinh Long Province, Vietnam	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
54	Tran Van Thai Binh	Deputy CEO	079075019292	174 Street 40, Quarter 5, Tan Hung Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
55	Nguyen Thi Huu Quyen	Related person of Deputy CEO	079174018563	8 Street 7 Nine South Residential Area, Nguyen Huu Tho Street, Nha Be Commune, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
56	Tran Thai Huy	Related person of Deputy CEO	079202012788	8 Street 7 Nine South Residential Area, Nguyen Huu Tho Street, Nha Be Commune, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
57	Tran Thuy Lam	Related person of Deputy CEO	079309000594	8 Street 7 Nine South Residential Area, Nguyen Huu Tho Street, Nha Be Commune, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
58	Tran Le Sinh	Related person of Deputy CEO	079071023970	115/22/58 Nguyen Du, Ben Thanh Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
59	Nguyen Van Minh	Deputy CEO	034077010170	P720, Building H3, Viet Hung Urban Area, Viet Hung Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
60	Vu Hong Yen	Related person of Deputy CEO	001182038140	P720, Building H3, Viet Hung Urban Area, Viet Hung Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
61	Nguyen Van Mam	Related person of Deputy CEO	034081006451	68A, Alley 119, Ho Dac Di, Dong Da Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
62	Nguyen Thi Van	Related person of Deputy CEO	022181002055	68A, Alley 119, Ho Dac Di, Dong Da Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
63	Nguyen Thi Hong Van	Deputy CEO	001180024934	No. 5, Sub-lane 36, Alley 89 Lac Long Quan, Group 8, Tay Ho Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
64	Dang Viet Bach	Related person of Deputy CEO	001206004581	No. 5, Sub-lane 36, Alley 89 Lac Long Quan, Group 8, Tay Ho Ward, Hanoi City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	

No.	Name of Organization / Individual	Relationship with KLB	ID/Legal Document Number, Date of Issue, Place of Issue	Head Office Address / Contact Address	Timing of Transaction with KLB	Resolution/Decision of the General Meeting of Shareholders / Board of Management... approved (if any, specify date of issuance)	Content, Quantity, Total Transaction Value	Note
65	Vu Thi Phuong	Deputy CEO	036179004014	2 Building C, K95 Military Collective Quarter, Hong Ha Ward, Hanoi	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
66	Phan Duc Kha	Deputy CEO	068086008434	3B/9/3 Co Giang, Lam Vien Ward, Lam Dong Province	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
67	Vu Ngoc Lan Chau	Deputy CEO	075185001359	88/3 Tran Thi Nam Street, Quarter 45, Trung My Tay Ward, Ho Chi Minh City	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	
68	Pham Thanh Hoan	Related person of Deputy CEO	066086000210	17.07 Tower 1, Krista Apartment, Quarter 42, Binh Trung Ward, HCMC	During 2026	Resolution No. 45/NQ-HĐQT dated October 28, 2024 of the BOD	Savings books, CDs, bonds at KLB; quantity and transaction value are not provided due to information confidentiality	



KIEN LONG COMMERCIAL JOINT STOCK BANK

APPENDIX 03

Transactions between the company and a company in which Board of Directors members, Supervisory Board members, the General Director, and other managers have been or are

No.	Name of organization/individual	Related party relationship with KLB	ID/Legal document No., date of issue, place of issue	Head office address/ Contact address	Transaction type	Transaction time	Content, quantity, total transaction value
1	Unicloud Technology Group JSC (Former name: Sunshine Tech Commercial Investment JSC)	Related company of Deputy General Director	0107432651	10th Floor, Sunshine Center Building, 16 Pham Hung, Tu Liem Ward, Hanoi City	Deposit at KLB	During 2026	Deposit contract at KLB; quantity and transaction value are not provided due to information confidentiality
2	Vietnet Investment and Technology JSC	Related company of Deputy General Director	0107920095	11th Floor, Sunshine Center Building, 16 Pham Hung, Tu Liem Ward, Hanoi City	Deposit at KLB	During 2026	Deposit contract at KLB; quantity and transaction value are not provided due to information confidentiality
3	Nanochip Technology JSC	Related company of Deputy General Director	0313885664	L17-11, 17th Floor, Vincom Center Building, 72 Le Thanh Ton, Sai Gon Ward, Ho Chi Minh City	Deposit at KLB	During 2026	Deposit contract at KLB; quantity and transaction value are not provided due to information confidentiality



APPENDIX 4

List of internal persons and their related persons as of June 30, 2026

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share owners hip ratio at the end of the period (%)	Note
I. Board of Directors and related persons										
1	Tran Ngoc Minh		Chairman of the Board of Directors					0	0,00	
1.01	Do Thi Xuan		Wife					0	0,00	
1.02	Tran Ngoc Minh Quan		Son					0	0,00	
1.03	Tran Ngoc Minh Quang		Son					0	0,00	
1.04	Tran Ngoc Minh Phu		Son					0	0,00	
1.05	Tran Ngoc Mai		Father					0	0,00	
1.06	Dang Thi Hue		Mother					0	0,00	
1.07	Tran Ngoc		Younger brother					0	0,00	
1.08	Pham Thi My Dung		Sister-in-law					0	0,00	
1.09	Tran Thi Ngoc Hieu		Younger sister					0	0,00	
1.10	Tran Ngoc Hao		Younger brother					0	0,00	
1.11	Do Nhu Dung		Father-in-law					0	0,00	
1.12	Tran Thi Mai Phuong		Mother-in-law					0	0,00	
1.13	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
1.14	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
2	Nguyen Thi Hong Hanh		Vice Chairman of the Board of Directors					0	0,00	
2.01	Nguyen Huy Bich		Father					0	0,00	
2.02	Nguyen Thi Yen Tam		Mother					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
2.03	Nguyen Thi Hai Yen		Older sister					0	0,00	
2.04	Hoang Minh Long		Biological child					0	0,00	
2.05	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
2.06	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
2.07	Minh Long Investment General Im-Export Joint Stock Company		Company in which the Reporter's Related Person owns shares, is a member of the Board of Directors - Director					0	0,00	
3	Kim Minh Tuan	0001018569 tại VNDirect	Independent member of the Board of Directors					0	0,00	
3.01	Do Thu Ha		Wife					0	0,00	
3.02	Nguyen Kim Binh		Father					0	0,00	
3.03	Nguyen Thi Ngoc		Mother					0	0,00	
3.04	Do Thai		Father-in-law					0	0,00	
3.05	Nguyen Thi Thanh		Mother-in-law					0	0,00	
3.06	Kim Quang Huy		Biological child					0	0,00	
3.07	Kim Anh Kiet		Biological child					0	0,00	
3.08	Kim Nhuy		Older sister					0	0,00	
3.09	Kim Anh Tai		Older brother					0	0,00	
3.10	Kim Trong Tue		Older brother					0	0,00	
3.11	Kim Thi Thanh Nhan		Younger sister					0	0,00	
3.12	Mai Van Thu		Brother-in-law					0	0,00	
3.13	Trinh Thanh Hung		Brother-in-law					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share owners hip ratio at the end of the period (%)	Note
3.14	Tan Tay Do Seafood Service Company Limited		Company of which the related person is the Manager and the equity owner					0	0,00	
3.15	Bao Kim Trading Tourism Joint Stock Company		Company of which the related person is the Manager and the equity owner					0	0,00	
3.16	Cat Loi Joint Stock Company		Company of which the related person is the Controller					0	0,00	
3.17	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
3.18	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
4	Nguyen Thi Thanh Huong		Older sister					0	0,00	
4.01	Nguyen Manh Hung		Husband					0	0,00	
4.02	Nguyen Thi Ha Linh		Biological child					0	0,00	
4.03	Nguyen Phan Anh		Biological child					0	0,00	
4.04	Nguyen Minh Loan		Father					0	0,00	
4.05	Nguyen Thi Bich Tram		Mother					0	0,00	
4.06	Nguyen Van Loi		Father-in-law					0	0,00	
4.07	Phan Thuy Lan		Mother-in-law					0	0,00	
4.08	Nguyen Thi Thanh Huong		Older sister					0	0,00	
4.09	Pham Manh Cuong		Brother-in-law					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
4.10	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
4.11	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
5	Bui Thanh Hai		Member of Board of Directors					0	0,00	
5.01	Ho Kim Bac Ai		Wife					0	0,00	
5.02	Bui Ho Hai Dang		Son					0	0,00	
5.03	Bui Ho Hai Yen		Daughter					0	0,00	
5.04	Bui Ho Hai Duong		Son					0	0,00	
5.05	Bui Van Phan		Father					0	0,00	
5.06	Pham Thi Day		Mother					0	0,00	
5.07	Bui Tien Dung		Older brother					0	0,00	
5.08	Nguyen Thi Lan		Mother					0	0,00	
5.09	Bui Thanh Sang		Older brother					0	0,00	
5.10	Bui Thi Tuyet Nga		Older sister					0	0,00	
5.11	Dinh Van Hoai		Brother-in-law					0	0,00	
5.12	Ho Thai Bach		Father-in-law					0	0,00	
5.13	Nguyen Thi Hue		Mother-in-law					0	0,00	
5.14	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
5.15	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
6	Le Khac Gia Bao		Member of Board of Directors					0	0,00	
6.01	Mai Phuong Ngan		Wife					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share owners hip ratio at the end of the period (%)	Note
6.02	Le Mai Gia Duc		Son					0	0,00	
6.03	Le Mai Quynh Anh		Daughter					0	0,00	
6.04	Le Khac Tinh		Father					0	0,00	
6.05	Ton Nu Thanh Thuy		Mother					0	0,00	
6.06	Le Khac Gia Khanh		Younger brother					0	0,00	
6.07	Le Khac Gia Trung		Younger brother					0	0,00	
6.08	Nguyen Thi Quynh Anh		Sister-in-law					0	0,00	
6.09	Le Nu Kha Nhu		Younger sister					0	0,00	
6.10	Nguyen Thanh Hai		Brother-in-law					0	0,00	
6.11	Mai Thanh		Father-in-law					0	0,00	
6.12	Pham Thi Phuong Loan		Mother-in-law					0	0,00	
6.13	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
6.14	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
7	Nguyen Cao Cuong		Member of Board of Directors					0	0,00	
7.01	Dang Thi Ngoc Anh		Wife					0	0,00	
7.02	Nguyen Dang Minh Khang		Son					0	0,00	
7.03	Nguyen Dang Ha My		Daughter					0	0,00	
7.04	Nguyen Tien Hung		Father					0	0,00	
7.05	Nguyen Thi Dung		Mother					0	0,00	
7.06	Nguyen Quang Huy		Younger brother					0	0,00	
7.07	Dang Huu Duc		Father-in-law					0	0,00	
7.08	Nguyen Thi Lan		Mother					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
7.09	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
7.10	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
8	Nguyen Thuy Nguyen		Independent member of the Board of Directors					2,980,704	0.51	
8.01	Hoang Vu Dat		Husband					0	0,00	
8.02	Hoang Anh Khoa		Son					0	0,00	
8.03	Hoang Khanh Huyen		Daughter					0	0,00	
8.04	Nguyen Van Lien		Father					0	0,00	
8.05	Tran Thi Nga		Mother					0	0,00	
8.06	Nguyen Thuy Co		Older sister					0	0,00	
8.07	Tran Thanh Binh		Brother-in-law					0	0,00	
8.08	Vu Thi Lan		Father-in-law					0	0,00	
8.09	Hoang Quang Huy		Mother-in-law					0	0,00	
8.10	Smartmind Securities Joint Stock Company		Company of which the Reporting Person is Deputy General Director					0	0,00	
8.11	S.I Development Joint Stock Company		Company of which the Reporter is the Manager					0	0,00	
8.12	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
8.13	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share owners hip ratio at the end of the period (%)	Note
9	Nguyen Chi Hieu	011C356868	Independent member of the Board of Directors					0	0,00	
9.01	Duong Quynh Anh		Wife					0	0,00	
9.02	Nguyen Tram Anh		Daughter					0	0,00	
9.03	Nguyen Chi Nam Anh		Son					0	0,00	
9.04	Nguyen Chi Thanh		Father					0	0,00	
9.05	Phan Thi Ut		Mother					0	0,00	
9.06	Duong Ngoc Lan		Father-in-law					0	0,00	
9.07	Tran Thi Bich Thuy		Mother-in-law					0	0,00	
9.08	Nguyen Huong Giang		Younger sister					0	0,00	
9.09	Khuong Xuan Thao		Brother-in-law					0	0,00	
9.10	NDT Solutions Company Limited		Company of which the Reporting Person is the Manager					0	0,00	
9.11	Pylab Technology Investment Joint Stock Company		Enterprise in which the affiliated person of the reporting person serves as the Legal Representative					0	0,00	
9.12	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
9.13	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
II. Board of Supervisors (BOS) and related persons										
1	Do Thi Tuyet Trinh		Head of Board of Supervisors					0	0,00	
1.01	Do Ngoc Quang		Father					0	0,00	
1.02	Tran Thi Toan		Mother					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
1.03	Tran Bao Ngoc		Daughter					0	0,00	
1.04	Do Kim Tuyen		Older sister					0	0,00	
1.05	Do Minh Tuan		Older brother					0	0,00	
1.06	Le Thi Nga		Sister-in-law					0	0,00	
1.07	Do Thi Quynh Trang		Older sister					0	0,00	
1.08	Dang Quang Tan		Brother-in-law					0	0,00	
1.09	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is Head of Supervisory Board					0	0,00	
1.10	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is Head of Board of Supervisors					0	0,00	
2	Dang Minh Quan		BOS Member					0	0,00	
2.01	Dang Van My		Father					0	0,00	
2.02	Pham Ngoc Lan		Mother					0	0,00	
2.03	Duong Thi Cam Van		Step mother					0	0,00	
2.04	Nguyen Bich Huyen		Wife					0	0,00	
2.05	Dang Phuc Nhan		Son					0	0,00	
2.06	Dang Huu Nghia		Son					0	0,00	
2.07	Dang Thi Quynh Anh		Half-sister					0	0,00	
2.08	Nguyen Van Dang		Father-in-law					0	0,00	
2.09	Nguyen Thi Vang		Mother-in-law					0	0,00	
2.10	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is a member of the Board of Supervisors					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share owners hip ratio at the end of the period (%)	Note
2.11	KienlongBank Asset Management Company		Subsidiary of the credit institution in which the reporting person is a member of the Board of Supervisors					0	0,00	
3	Hoang Thi Phuong	61213	BOS Member					0	0,00	
3.01	Hoang Van Xuan		Father					0	0,00	
3.02	Nguyen Thi Phung		Mother					0	0,00	
3.03	Nguyen Viet Hoang Lam		Biological child					0	0,00	
3.04	Hoang Phuong Yen		Younger sister					0	0,00	
3.05	Nguyen Ba Huyen		Brother-in-law					0	0,00	
3.06	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is a member of the Board of Supervisors					0	0,00	
3.07	KienlongBank Asset Management Company		Subsidiary of the credit institution in which the reporting person is a member of the Board of Supervisors					0	0,00	
4	Nguyen Thi Khanh Phuong		BOS Member					0	0,00	
4.01	Nguyen Viet Anh		Husband					0	0,00	
4.02	Nguyen Anh Hoa		Son					0	0,00	
4.03	Nguyen Phuong Hoa		Daughter					0	0,00	
4.04	Nguyen Huu Ngoc		Father					0	0,00	
4.05	Luong Thi Danh		Mother					0	0,00	
4.06	Nguyen Luong Giang		Older brother					0	0,00	
4.07	Duong Thi Huong		Sister-in-law					0	0,00	
4.08	Nguyen The Boi		Mother-in-law					0	0,00	
4.09	Ho Thi Thanh		Sister-in-law					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
4.10	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is a member of the Board of Supervisors					0	0,00	
4.11	KienlongBank Asset Management Company		Subsidiary of the credit institution in which the reporting person is a member of the Board of Supervisors					0	0,00	
5	Dao Ngoc Hai		BOS Member					0	0,00	
5.01	Dao Xuan Thanh		Father					0	0,00	
5.02	Nguyen Thi Lan		Mother					0	0,00	
5.03	Nguyen Duc Khai		Father-in-law					0	0,00	
5.04	Nguyen Thi Kim Dung		Mother-in-law					0	0,00	
5.05	Nguyen Thi Thu Trang		Wife					0	0,00	
5.06	Dao Duy Anh		Son					0	0,00	
5.07	Dao Diep Anh		Daughter					0	0,00	
5.08	Dao Nam Anh		Son					0	0,00	
5.09	Dao Hai Dung		Older sister					0	0,00	
5.10	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is a member of the Board of Supervisors					0	0,00	
5.11	KienlongBank Asset Management Company		Subsidiary of the credit institution in which the reporting person is a member of the Board of Supervisors					0	0,00	
III. Board of Executives and related persons										
1	Tran Hong Minh		Acting General Director					0	0,00	
1.01	Vu Thi Van Anh		Wife					0	0,00	
1.02	Tran Vi Khanh		Daughter					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share owners hip ratio at the end of the period (%)	Note
1.03	Tran Phuc Thanh		Son					0	0,00	
1.04	Tran Hanh Son		Father					0	0,00	
1.05	Bui Thi Nhung		Mother					0	0,00	
1.06	Vu Dai Quan		Father-in-law					0	0,00	
1.07	Do Thi Anh Tuyet		Mother-in-law					0	0,00	
1.08	Tran Quynh Trang		Younger sister					0	0,00	
1.09	Dang Hoai Son		Brother-in-law					0	0,00	
1.10	Kien Long Commercial Joint Stock Bank		Company of which the Reporter is the Manager					0	0,00	
1.11	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is the Manager					0	0,00	
2	Nguyen Hoang An	033C020467 at Viet Dragon Securities Corporation	Deputy General Director; Chairman of the Grassroots Trade Union					9,763	0,00	
2.01	Trang Thi My Nhung		Wife					0	0,00	
2.02	Nguyen Thuong Thuong		Daughter					0	0,00	
2.03	Nguyen Hoang Phong		Son					0	0,00	
2.04	Nick Iori		Son-in-law					0	0,00	
2.05	Nguyen Kim Hoang		Father					0	0,00	
2.06	Nguyen Phung Lien		Mother					0	0,00	
2.07	Trang Van Hon		Father-in-law					0	0,00	
2.08	Vo Thi Khoi		Mother-in-law					0	0,00	
2.09	Nguyen Thi Huyen Lan		Sister					0	0,00	
2.10	Nguyen Thi Kim Trang		Sister					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
2.11	Nguyen Thi Kim Ngan		Younger sister					0	0,00	
2.12	Nguyen Hoang Lac		Younger sibling					0	0,00	
2.13	Patrice Castaing		Brother-in-law					0	0,00	
2.14	Pham Ba Hai		Brother-in-law					0	0,00	
2.15	Nguyen Van Hai		Brother-in-law					0	0,00	
2.16	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is an Insider					0	0,00	
2.17	Hoang An Lac Trading and Services Single-Member Limited Liability Company		Subsidiary of the Credit Institution of which the Reporting Person is Deputy General Director					0	0,00	
2.18	An Phong Footwear Limited Company		Enterprise in which the affiliated person of the reporting person serves as the Director					0	0,00	
3	Tran Van Thai Binh	C020322X1 at Viet Dragon Securities Corporation	Deputy General Director					164	0,00	
3.01	Nguyen Thi Huu Quyen		Wife					0	0,00	
3.02	Tran Thai Huy		Child					0	0,00	
3.03	Tran Thuy Lam		Daughter					0	0,00	
3.04	Tran Van Sau		Father					0	0,00	
3.05	Le Thi Chinh		Mother					0	0,00	
3.06	Nguyen Huu Nguyen		Father-in-law					0	0,00	
3.07	Ngo Thi Tam		Mother-in-law					0	0,00	
3.08	Tran Thi Cam Linh		Older sister					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share owners hip ratio at the end of the period (%)	Note
3.09	Tran Xuan Vinh		Older brother					0	0,00	
3.10	Truong Ngoc Uyen		Sister-in-law					0	0,00	
3.11	Tran Le Sinh		Older brother					0	0,00	
3.12	Lam Thi Thanh Hong		Sister-in-law					0	0,00	
3.13	Tran Thi Thien Chau		Younger sibling					0	0,00	
3.14	Tran Minh Hoang		Brother-in-law					0	0,00	
3.15	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is an Insider					0	0,00	
3.16	KienlongBank Asset Management Company		Subsidiary of the Credit Institution of which the Reporting Person is Deputy General Director					0	0,00	
3.17	Hong Thong Service One Member Limited Liability Company		Enterprise in which the affiliated person of the reporting person serves as the Director					0	0,00	
4	Nguyen Van Minh		Deputy General Manager					0	0,00	
4.01	Tran Thi Kien		Mother					0	0,00	
4.02	Nguyen Van Thuong		Father					0	0,00	
4.03	Vu Hong Yen		Wife					0	0,00	
4.04	Nguyen Thi Man		Younger sister					0	0,00	
4.05	Nguyen Van Mam		Younger brother					0	0,00	
4.06	Nguyen Minh Duc		Son					0	0,00	
4.07	Nguyen Thai Ha		Son					0	0,00	
4.08	Hoang Thuy Phuong		Brother-in-law					0	0,00	
4.09	Nguyen Thi Van		Sister-in-law					0	0,00	
4.10	Nguyen Thi Phan		Mother-in-law					0	0,00	
4.11	Vu Son Tram		Father-in-law					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
4.12	Hoang Phuc Investment and Development Joint Stock Company		Company in which the Reporting Person owns shares; Company of which the Reporting Person and the Reporting Person's related person are managers					0	0,00	
4.13	FSC Informatic - Electronic Joint Stock Company		Company in which the Reporting Person owns shares					0	0,00	
4.14	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is an Insider					0	0,00	
5	Nguyen Thi Hong Van		Deputy General Manager					0	0,00	
5.01	Nguyen Van Thuc		Father					0	0,00	
5.02	Do Thi Thuy Lan		Mother					0	0,00	
5.03	Dang Viet Bach		Son					0	0,00	
5.04	Nguyen Thi Anh Tuyet		Older sister					0	0,00	
5.05	Cao Viet Hung		Brother-in-law					0	0,00	
5.06	Nguyen Anh Son		Older brother					0	0,00	
5.07	Vuong My Hang		Sister-in-law					0	0,00	
5.08	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is an Insider					0	0,00	
6	Vu Thi Phuong		Deputy General Director					0	0,00	
6.01	Vu Le Phuong		Husband					0	0,00	
6.02	Vu Duc Huy		Biological son					0	0,00	
6.03	Vu Van Phuong		Biological father					0	0,00	
6.04	Nguyen Thi Thu Luat		Biological mother					0	0,00	
6.05	Vu Duc Phong		Father-in-law					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share owners hip ratio at the end of the period (%)	Note
6.06	Lo Thi Nuoi		Mother-in-law					0	0,00	
6.07	Vu Thi Huong		Biological sister					0	0,00	
6.08	Bui Quoc Hieu		Brother-in-law					0	0,00	
6.09	Nguyen Thanh Le		Brother-in-law					0	0,00	
6.1	Vu Thi Nhu Hoa		Biological sister					0	0,00	
6.11	Vu Thi Hanh		Biological sister					0	0,00	
6.12	Pham Ngoc Son		Brother-in-law					0	0,00	
6.13	Thang Long Tech Inspection, Consultancy & Construction JSC		Company whose Director is a related person of the reporting person					0	0,00	
6.14	Kien Long Commercial Joint Stock Bank		Company where the reporting person is Director					0	0,00	
7	Phan Duc Kha		Deputy General Director					0	0,00	
7.01	Ton Nu Ngoc Diep		Biological mother					0	0,00	
7.02	Pham Duc Do		Father					0	0,00	
7.03	Pham Thi Ngoc Yen		Wife					0	0,00	
7.04	Pham Duc Thien Bao		Son					0	0,00	
7.05	Phan Ngoc Quynh Uyen		Biological sister					0	0,00	
7.06	Tran Ngoc Huy		Brother-in-law					0	0,00	
7.07	Phan Duc Quang		Biological brother					0	0,00	
7.08	Nguyen Thi Ngoc Thuy		Sister-in-law					0	0,00	
7.09	Phan Ngoc Quynh Vy		Biological sister					0	0,00	
7.1	Billy Nguyen		Brother-in-law					0	0,00	
7.11	Pham Van Ngan		Father-in-law					0	0,00	
7.12	Nguyen Thi Hanh		Mother-in-law					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
7.13	Sunway Technology Co., Ltd.		Company whose Director & shareholder is a related person of the reporting person					0	0,00	
7.14	BAO MINH Technology Investment Co., Ltd.		Company whose Director & shareholder is a related person of the reporting person					0	0,00	
7.15	Kien Long Commercial Joint Stock Bank		Company where the reporting person is an Internal Person					0	0,00	
8	Vu Ngoc Lan Chau		Deputy General Director					0	0,00	
8.01	Vo Ngoc Tien		Biological father					0	0,00	
8.02	Nguyen Thi Huong		Biological mother					0	0,00	
8.03	Pham Thanh Hoan		Husband					0	0,00	
8.04	Nguyen Ngoc Lan Phuong		Biological daughter					0	0,00	
8.05	Vo Ngoc Lan Anh		Biological sister					0	0,00	
8.06	Pham Thanh Binh		Father-in-law					0	0,00	
8.07	Le Thi Thao		Mother-in-law					0	0,00	
8.08	Kien Long Commercial Joint Stock Bank		Company where the reporting person is an Internal Person					0	0,00	
9	Vu Dang Xuan Vinh		Chief Accountant					0	0,00	
9.01	Vu Quoc Viet		Father					0	0,00	
9.02	Dang Thi Theu		Mother					0	0,00	
9.03	Vu Quang		Older brother					0	0,00	
9.04	Tran Thi Thanh Hoa		Sister-in-law					0	0,00	
9.05	Nguyen Hong Quan		Husband					0	0,00	
9.06	Nguyen Van Sam		Father-in-law					0	0,00	
9.07	Tran Thi Thoa		Mother-in-law					0	0,00	

No.	Full name	Securities trading account (if any)	Position in Company/ Internal Relationship (if any)	ID card number/ CCCD/ Personal identification number/ Passport	Date of issue	Place of issue	Contact address	Number of shares at the end of the period	Share ownership ratio at the end of the period (%)	Note
9.08	Nguyen Hong Duc		Biological child					0	0,00	
9.09	Nguyen Hong Phuc		Biological child					0	0,00	
9.10	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is an Insider					0	0,00	
10	Nguyen Thanh Thuy	069C001341; 058C700179	Corporate Governance Officer, Authorized Information Disclosure Officer					50 0	0,00	
10.01	Nguyen Xuan Duc		Father					0	0,00	
10.02	Le Thi Khanh		Mother					0	0,00	
10.03	Hoang Mao		Father-in-law					0	0,00	
10.04	Ho Thi Truong		Mother-in-law					0	0,00	
10.05	Hoang Anh Tuan		Husband					0	0,00	
10.06	Hoang Viet Ha		Daughter					0	0,00	
10.07	Hoang Ngoc Mai		Daughter					0	0,00	
10.08	Nguyen Le Chien		Younger brother					0	0,00	
10.09	Nguyen Phuong Nga		Sister-in-law					0	0,00	
10.10	Kien Long Commercial Joint Stock Bank		Company of which the Reporting Person is an Insider					0	0,00	

